

# Application of Word2Vec to Represent Biological Sequences

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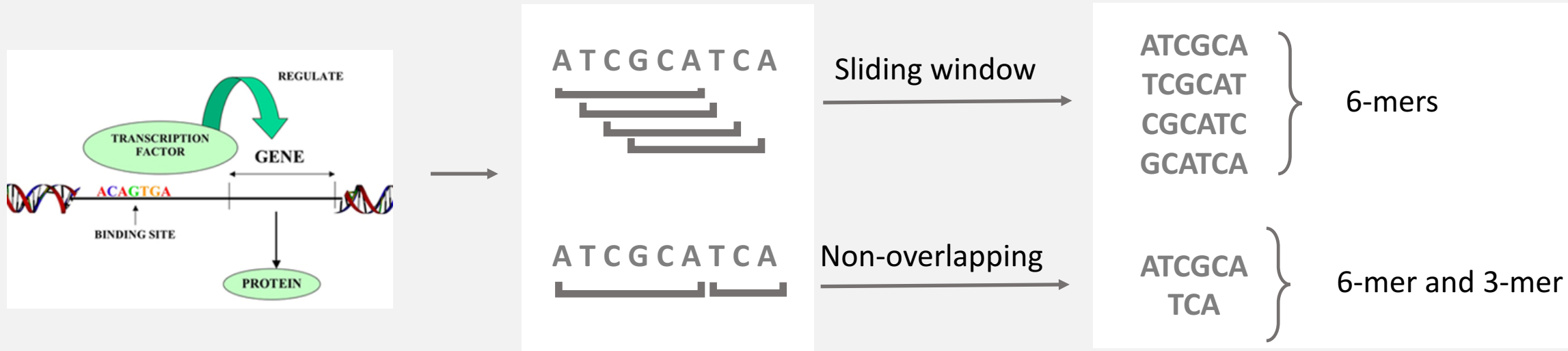
# Content

1. Background
2. Principle of Word2Vec
3. Pipeline of dna2vec
4. Performance Evaluations and Discussions.

# Background

## 1. Biological Problems

- Long DNA sequences are usually investigated
- K-mer representation plays important role in splitting DNA sequences



## 2. Encoding for k-mer: one-hot vector

- Simple to understand
- High dimension:  $4^6 = 4096$
- The distance between all paired vectors is equivalent

# Word2Vec

Mikolov, T., Chen, K., Corrado, G., & Dean, J. (2013).

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## Efficient Estimation of Word Representations in Vector Space

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Mikolov, T., Le, Q. V., & Sutskever, I. (2013).

## Exploiting Similarities among Languages for Machine Translation

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# Word2Vec

## 1. Model description

- The vocabulary size is  $V$ .
- Input layer:  $\{x_1, \dots, x_V\}$
- Hidden layer:  $h_{N \times N}$
- Output layer:  $\{y_1, \dots, y_V\}$
- Two matrix:  $W_{V \times N}$ ,  $W_{N \times V}$

## 2. Optimization Target

- Given one context word  $x$ , the model can properly predict the word  $y$

## 3. Important intermediate product

- The row vector in  $W_{V \times N}$  can be used as word vector

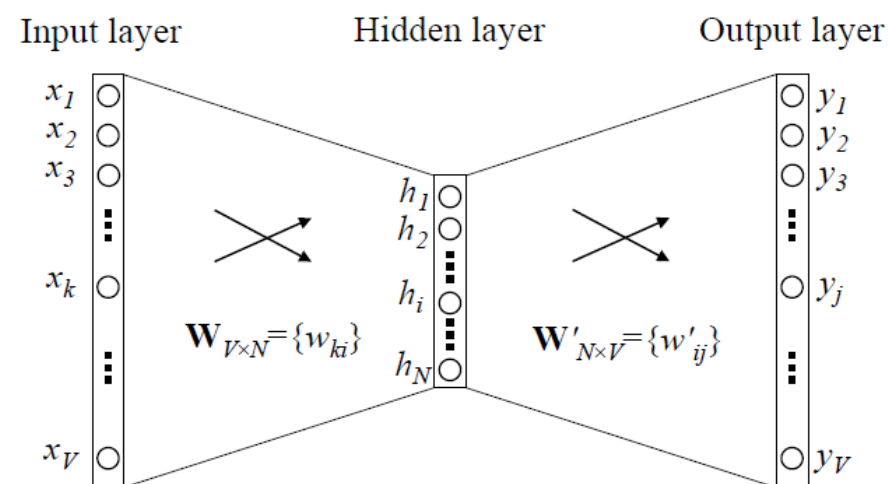


Figure 1: A simple CBOW model with only one word in the context

**Rong, X. (2014).**

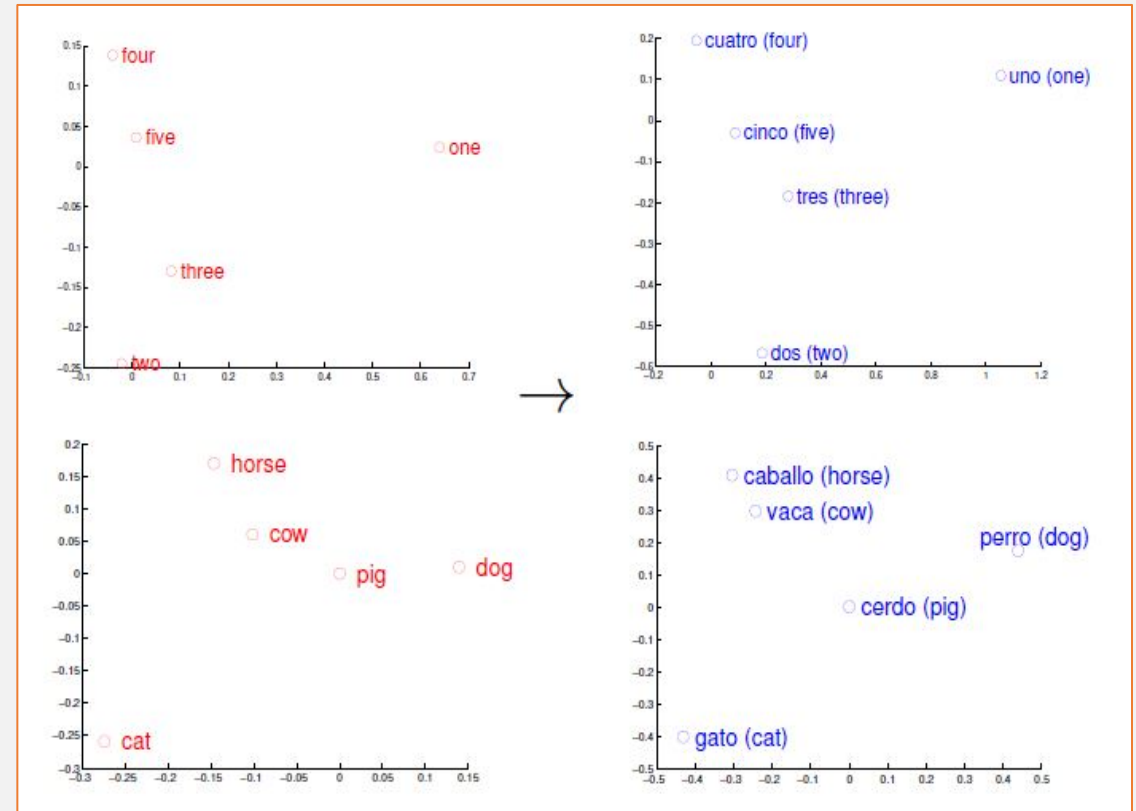
# Word2Vec

## 3. Important intermediate product

- The row vector in  $W_{V \times N}$  can be used as word vector

## 4. Application of word vector in translation

- English to Spanish
- These concepts have similar geometric arrangements in both spaces



Mikolov, T., Le, Q. V., & Sutskever, I. (2013).

# dna2vec

## 1. Analogy between DNA and Nature Language

Nature Language	DNA
Words	K-mer
Sentences	DNA fragments
Corpus	Part or whole genome

## 2. Pipeline of training dna2vec

### 1. Preparing corpus

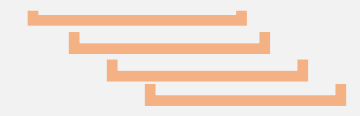
- Prepare a genome which contains long DNA contig (chromosome) (>1M)
- Randomly select DNA fragments from contigs (<1k)
- Use sliding-window or non-overlapping to split DNA fragments into k-mers

### 2. Use gensim (python package) to train word2vec model with corpus

# Different Strategies of Establishing Corpus

## Word Embedding (same k)

1. Seq **A T C G C A T C A**      2. Word **ATCGCA**  
**TCGCAT**  
**CGCATC**  
**GCATCA**



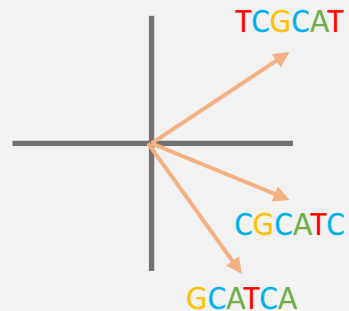
### 3. Sentence

**ATCGCA** | **TCGCAT** | **CGCATC** | **GCATCA**  
 This            is            a            sentence

### 4. Corpus

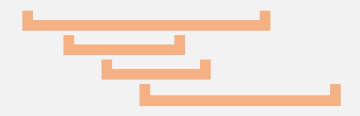
- 1). **ATCGCA** | **TCGCAT** | **CGCATC** | **GCATCA**
- 2). **TCGCAT** | **CGCATC** | **GCATCG** | **CATCAG**
- 3). **CGCATC** | **GCATCG** | **CATCGC** | **ATCAGC**
- 4). ...

### 5. dna2vec



## Word Embedding (variable k)

1. Seq **A T C G C A T C A**      2. Word **ATCGCAT**  
**TCGC**  
**CGC**  
**GCATCA**



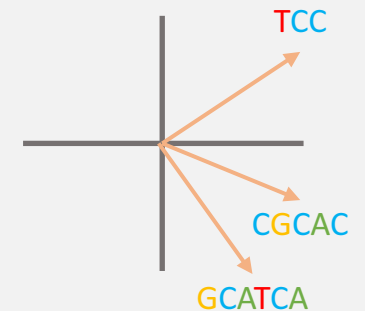
### 3. Sentence

**ATCGCA** | **TCGC** | **CGC** | **GCATCA**  
 This            is            a            sentence

### 4. Corpus

- 1). **ATCGCA** | **TCGC** | **CGCAT** | **GCATCA**
- 2). **TCGCAT** | **CGCA** | **GCATC** | **CATCAG**
- 3). **CGCA** | **GCATCG** | **CATCGC** | **ATCG**
- 4). ...

### 5. dna2vec





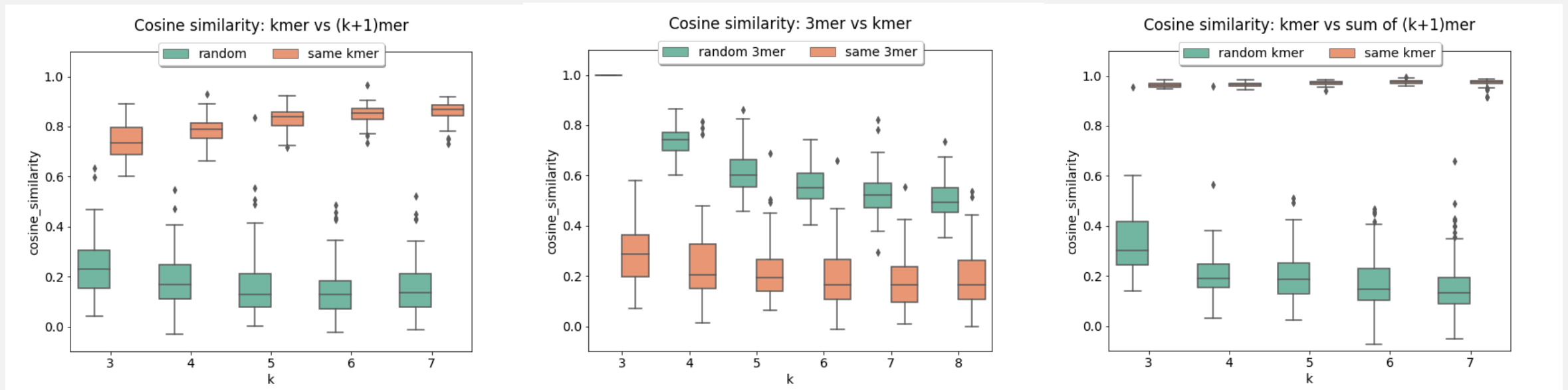
# Methods to Evaluate dna2vec

- Similarity between k-mers
  - $vec(king) - vec(man) + vec(woman) \approx vec(queen)$
  - $vec(ACT) \approx vec(CTC)$
  - $vec(ACT) \approx vec(CTA) + vec(CTT) + vec(CTC) + vec(CTG)$
  - $vec(ACTCTG) \approx vec(ACT) + vec(CTG)$
- Application:
  - The performance of dna2vec should be significantly higher than one-hot encoding

# dna2vec Reflects Similarity Between K-mers

Three tests of cosine similarity:

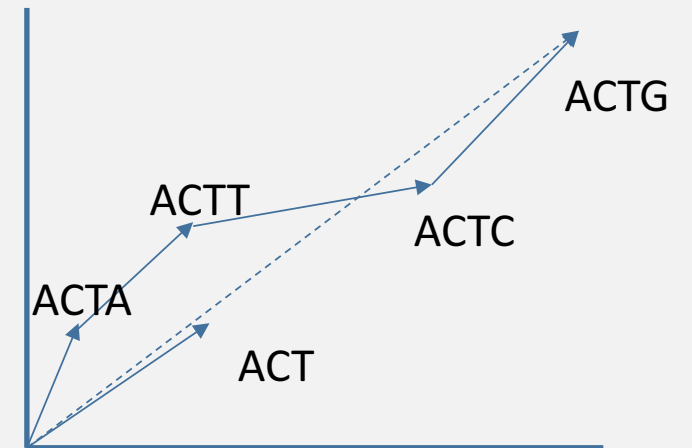
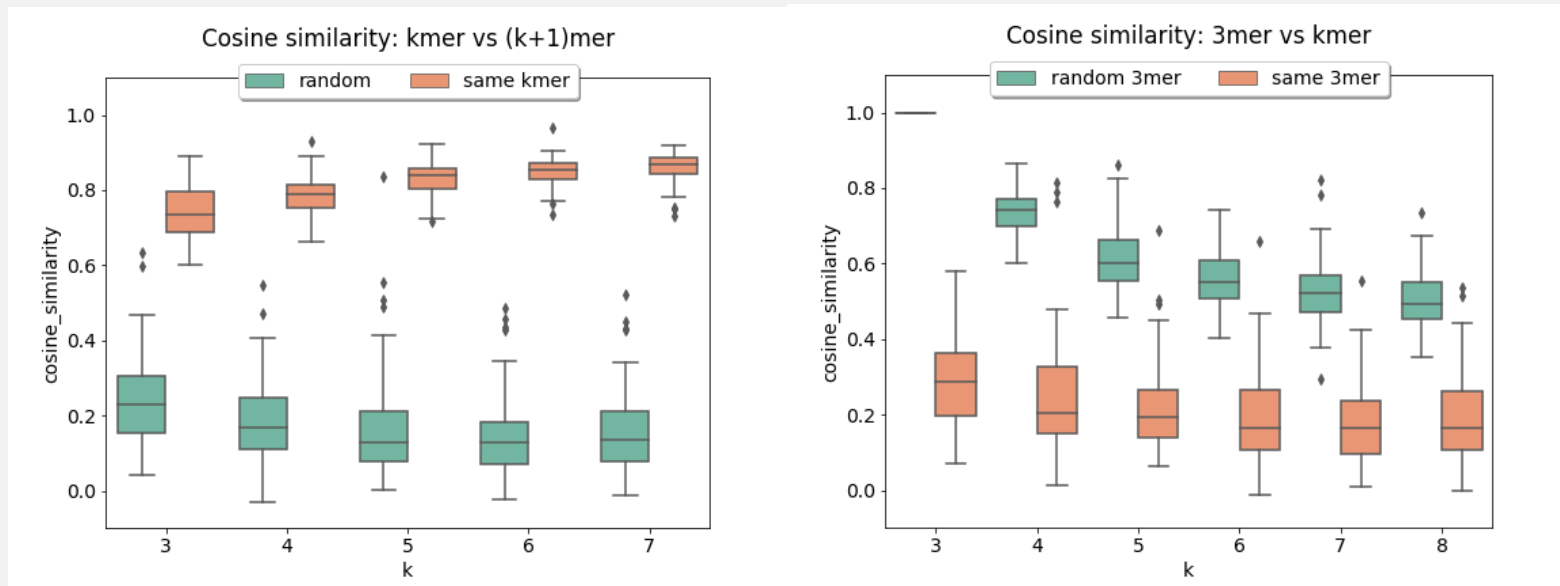
1.  $v(\text{kmer}) \sim v(\text{kmer} + \{A, T, C, G\}^1)$  for  $\text{kmer} \in \{A, T, C, G\}^k$  : ACT ~ ACTC
2.  $v(\text{kmer}) \sim v(\text{kmer} + \{A, T, C, G\}^n)$  for  $\text{kmer} \in \{A, T, C, G\}^k$  : ACT ~ ACTCTCAC
3.  $v(\text{kmer}) \sim v(\text{kmer} + A) + v(\text{kmer} + T) + v(\text{kmer} + C) + v(\text{kmer} + G)$  for  $\text{kmer} \in \{A, T, C, G\}^k$   
ACT ~ ACTA+ACTT+ACTC+ACTG



# dna2vec Reflects Similarity Between K-mers

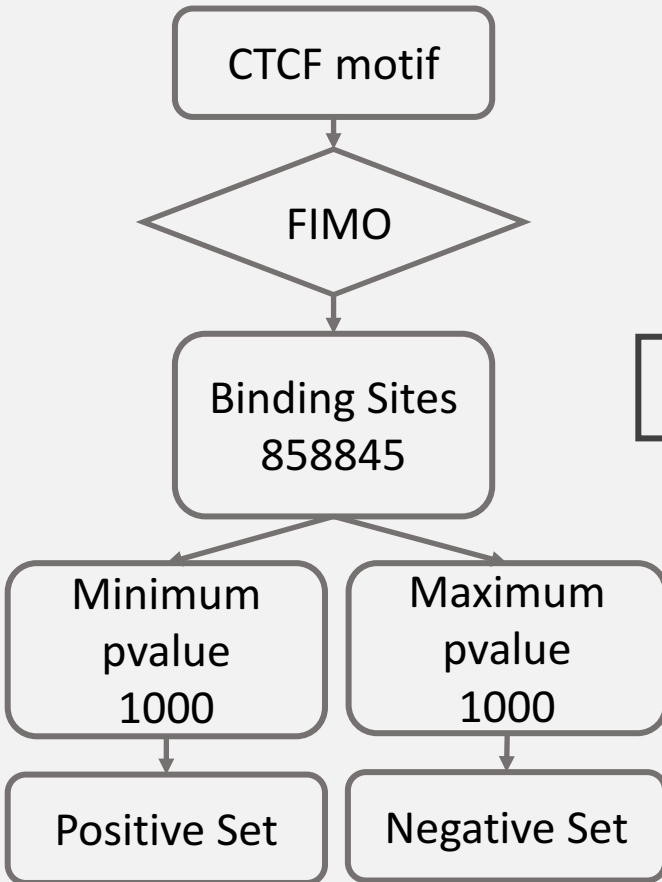
Three tests of cosine similarity:

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2.  $v(\text{kmer}) \sim v(\text{kmer} + \{A, T, C, G\}^n)$  for  $\text{kmer} \in \{A, T, C, G\}^k$  : ACT ~ ACTCTCAC
3.  $v(\text{kmer}) \sim v(\text{kmer} + A) + v(\text{kmer} + T) + v(\text{kmer} + C) + v(\text{kmer} + G)$  for  $\text{kmer} \in \{A, T, C, G\}^k$   
ACT ~ ACTA+ACTT+ACTC+ACTG



# dna2vec Increase the Performance of Downstream Analysis

## 1. Prepare P/N dataset



## 2. Three classifier

Model 1

$$\{N, A, T, C, G\}^L \rightarrow \{0, 1, 2, 3, 4\}^L$$

$$\{0, 1, 2, 3, 4\}^L \xrightarrow{SVM(linear)} \{0, 1\}$$

Model 2

$$\{N, A, T, C, G\}^L \rightarrow \{0, 1, 2, 3, 4\}^L$$

$$\{0, 1, 2, 3, 4\}^L \xrightarrow{SVM(Gaussian)} \{0, 1\}$$

Model 3

$$\{N, A, T, C, G\}^L \xrightarrow{Divide} \{N, A, T, C, G\}^{w*(L-w+1)}$$

$$\xrightarrow{dna2vec} R^{d*(L-w+1)}$$

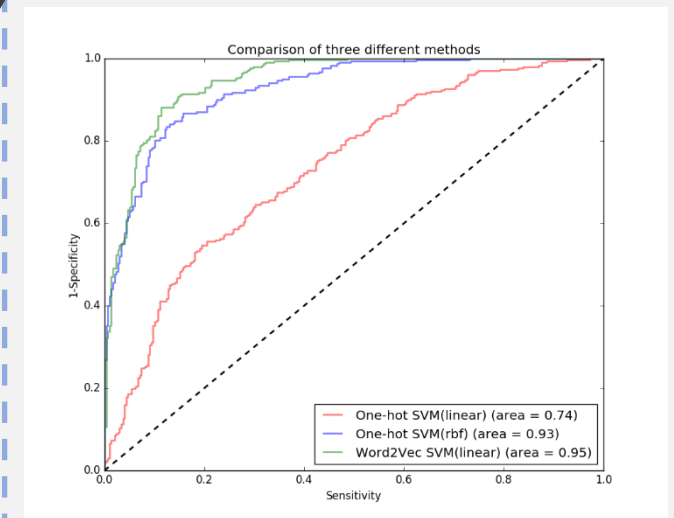
$$R^{d*(L-w+1)} \xrightarrow{SVM(linear)} \{0, 1\}$$

## 3. Performance

Table: Accuracy

Encoding	Method	Accuracy
One-hot	SVM-linear	0.66
One-hot	SVM-Gaussian	0.85
Word2vec	SVM-linear	0.87

ROC Curve



# Summary

- The training method of dna2vec model was presented
- The representation of k-mers with dna2vec was shown to be able to reflect the similarity between k-mers
- The performance of classifier trained with dna2vec was proved to be better than SVM (Gaussian Kernel)